

Europäisches Patentamt

European Patent Office

Office européen des brevets



(11)

EP 0 966 125 A1

(12)

## EUROPEAN PATENT APPLICATION

(43) Date of publication:  
22.12.1999 Bulletin 1999/51

(51) Int. Cl.<sup>6</sup>: H04L 1/12, H04L 1/06,  
H04B 7/08

(21) Application number: 98401461.3

(22) Date of filing: 15.06.1998

(84) Designated Contracting States:  
AT BE CH CY DE DK ES FI FR GB GR IE IT LI LU  
MC NL PT SE  
Designated Extension States:  
AL LT LV MK RO SI

• Farmine, Yann  
78740 Vaux sur Seine (FR)  
• Whinnett, Nicholas  
75005 Paris (FR)

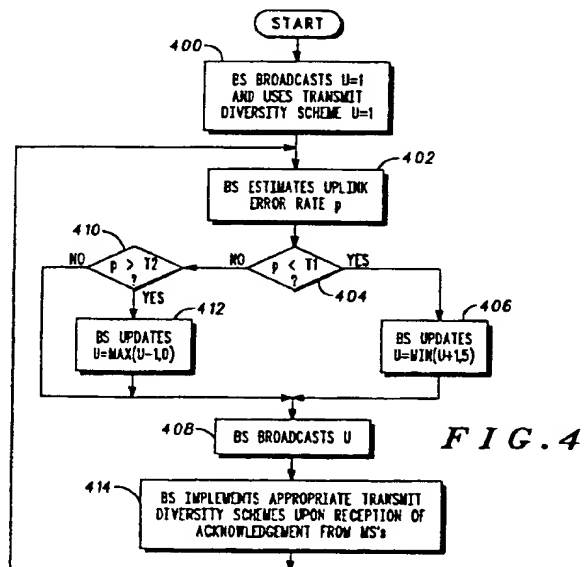
(71) Applicant: MOTOROLA, INC.  
Schaumburg, IL 60196 (US)

(74) Representative:  
Joly, Jean-Jacques et al  
Cabinet Beau de Loménie  
158, rue de l'Université  
75340 Paris Cédex 07 (FR)

(72) Inventors:  
• Boixadera Espax, Francesc  
92290 Chatenay Malabry (FR)

(54) Method and apparatus for improving capacity in a radio communications system

(57) A method of improving capacity in a duplexing scheme is provided. The duplexing scheme has a first band of frequencies and a second band of frequencies. The method comprises the steps of: determining an amount of available capacity on the first band of frequencies (steps 402, 404, 410), transmitting, on the first band of frequencies, a variable amount of feedback data corresponding to the amount of available capacity on the first band of frequencies, the feedback data comprising data for improving the quality of communications on the second band of frequencies, and optimising (steps 406, 408, 412, 414) transmissions on the second band of frequencies using, when available, the feedback data.



## Description

### Field of the Invention

[0001] The present invention relates to radio communication systems, in particular systems employing a Frequency Division Duplex (FDD) scheme of frequency allocation, for example, a Global System for Mobile Communication (GSM) or a Universal Mobile Telecommunications System (UMTS).

### Background of the Invention

[0002] In an FDD scheme, a first frequency band is allocated for uplink communications and a second frequency band is allocated for downlink communications between, for example, a mobile terminal and a fixed terminal, such as a base station.

[0003] A Code Division Multiple Access (CDMA) system operating in the FDD scheme, such as Wideband-CDMA, as has been proposed for UMTS has a limited capacity. The capacity is limited by interference. Therefore, as the system loading (the number of subscribers using the system) increases, the level of interference in the system increases, thereby limiting the capacity of the system.

[0004] It is therefore desirable to increase the capacity of a telecommunications system, such as a Wideband-CDMA system, operating under the FDD scheme in order to accommodate an increase in the demand for loading the telecommunications system.

### Summary of the Invention

[0005] According to a first aspect of the present invention, there is provided a method of improving capacity in a duplexing scheme, the duplexing scheme having a first band of frequencies and a second band of frequencies, the method comprising the steps of: determining an amount of available capacity on the first band of frequencies, transmitting, on the first band of frequencies, a variable amount of feedback data corresponding to the amount of available capacity on the first band of frequencies, the feedback data comprising data for improving the quality of communications on the second band of frequencies, and optimising transmissions on the second band of frequencies using, when available, the feedback data.

[0006] According to a second aspect of the present invention, there is provided an apparatus for improving capacity in a duplexing scheme, the duplexing scheme having a first band of frequencies and a second band of frequencies, comprising: means for determining an amount of available capacity on the first band of frequencies, means for transmitting, on the first band of frequencies, a variable amount of feedback data corresponding to the amount of available capacity on the first band of frequencies, the feedback data comprising data

for improving the quality of communications on the second band of frequencies, and means for optimising transmissions on the second band of frequencies using, when available, the feedback data.

[0007] According to a third aspect of the present invention, there is provided a method of optimising transmit diversity in an antenna array, the antenna array comprising a plurality of antennas, the method comprising: selecting a predetermined number of antennas from the plurality of antennas, and setting the phase of each of the predetermined number of antennas in response to feedback data received from a terminal arranged to receive transmissions from the antenna array.

[0008] Other, preferred, features and advantages are set forth in and will become apparent from the accompanying description and dependent claims 2 to 11 and 14.

[0009] By using spare capacity available on the uplink band of frequencies to transmit feedback data, it is possible to optimise downlink communications to reduce interference in the downlink band of frequencies, thereby increasing downlink capacity.

[0010] Such a technique is particularly useful in situations of asymmetric system loading, for example, where the loading on the downlink is greater than on the uplink. Such loading may occur in locations where slow moving subscribers exist, such as in microcells and picocells.

[0011] At least one example of the present invention will now be described, by way of example, with reference to the accompanying drawings, in which:

### Brief Description of the Drawings

[0012]

FIG. 1 is a schematic illustration of a communications system;

FIG. 2 is a schematic illustration of a base station constituting an embodiment of the invention;

FIG. 3 is a schematic illustration of a terminal constituting an embodiment of the invention;

FIG. 4 is a flow diagram illustrating operation of the apparatus of FIG. 2;

FIG. 5 is a flow diagram illustrating interaction of the terminal with the apparatus of FIG. 2;

FIG. 6 is a flow diagram illustrating operation of the base station constituting another embodiment of the invention;

FIG. 7 is a flow diagram of a functional block of FIG. 6, and

FIG. 8 is a flow diagram of another functional block of FIG. 6.

#### Description of a Preferred Embodiment

[0013] A Wideband-CDMA system 100 (FIG. 1) comprises a base station 102 and a plurality of other base stations 104 providing a coverage area 106. The base station 102 is capable of communicating with a mobile terminal 108 via a radio-frequency interface 110. Other mobile terminals 112 can be present in the coverage area 106.

[0014] The base station 102 (FIG. 2) comprises a transmit diversity processor 206 and an antenna weighting and selection unit 208. The functionality of the transmit diversity processor 206 and an antenna weighting and selection unit 208 are both embodied by a microprocessor (not shown) of the base station 102.

[0015] The transmit diversity processor 206 has a first input 210 to receive a signal indicative of the quality of signals received at the base station 200, a second input 212 to receive a confirmation of an optimisation scheme to be employed (described in more detail hereinafter), and a third input 214 to receive feedback data. The transmit diversity processor 206 also has a first output 216 for transmitting weight and delay (w, d) data to the antenna weighting and selection unit 208 and a second output 218 for transmitting downlink control channel information to the mobile terminal 108 and the other mobile terminals 112.

[0016] The transmit diversity processor 206 comprises an uplink quality unit 220 coupled to the first input 210 and a scheme selection unit 222, the scheme selection unit 222 being coupled to the second input 212 and the second output 218. The scheme selection unit 222 is coupled to a derivation unit 224. The derivation unit 224 is coupled to the third input 214 and the first output 216. The derivation unit 224 derives the weights and delays (w, d) from the feedback data received at the third input 214.

[0017] The feedback data includes data relating to the performance of the signals transmitted, in particular, on the downlink, and/or data which the mobile terminal 108 uses to instruct the base station 102 as to the weights and delays to apply within the antenna weighting and selection unit 208.

[0018] The antenna weighting and selection unit 208 has a fourth input 226 coupled to a first spreading and modulation unit 232, the first spreading and modulation unit 232 being capable of spreading and modulating data to be transmitted to a first user. The antenna weighting and selection unit 208 has a fifth input 228 and an  $N^{\text{th}}$  input 230, each respectively coupled to a second and an  $N^{\text{th}}$  spreading and modulation unit 234, 236. Similarly, the second and third spreading and modulation units 234, 236 spread and modulate data from a second user and an  $N^{\text{th}}$  user, respectively.

[0019] The antenna weighting and selection unit 208

also has a third output 238, a fourth output 240 and a fifth output 242. The third output 238, fourth output 240 and fifth output 242 are respectively coupled to a first Radio Frequency (RF) unit 244, a second RF unit 246 and a third RF unit 248. The first, second and third RF units 244, 246, 248 convert input signals into radio-frequency signals according to any method known in the art. The first, second and third RF units 244, 246, 248 are respectively coupled to a first antenna 250, a second antenna 252 and a third antenna 254. The first second and third antenna 250, 252, 254 form an antenna array.

[0020] The antenna weighting and selection unit 208 comprises a first weighting and delay network 256, a second weighting and delay network 258, and a third weighting and delay network 260.

[0021] The first weighting and delay network 256 comprises a first mixing unit 262, a second mixing unit 264 and a third mixing unit 266, each of which are coupled to the fourth input 226. The first, second and third mixing units 262, 264, 266 are respectively coupled to a first delay unit 268, a second delay unit 270, and a third delay unit 272. The first, second and third mixing units 262, 264, 266 have a respective first weight input  $w_{1,1}$ , a second weight input  $w_{1,2}$ , and a third weight input  $w_{1,3}$  for applying weight data for the first, second and third antennas 250, 252, 254 relating to the data of the first user.

[0022] The second weighting and delay network 258 comprises a first mixing unit 274, a second mixing unit 276 and a third mixing unit 278, each of which are coupled to the fifth input 228. The first, second and third mixing units 274, 276, 278 are respectively coupled to a first delay unit 280, a second delay unit 282, and a third delay unit 284. The first, second and third mixing units 274, 276, 278 have a respective first weight input  $w_{2,1}$ , a second weight input  $w_{2,2}$ , and a third weight input  $w_{2,3}$  for applying weight data for the first, second and third antennas 250, 252, 254 relating to the data of the second user.

[0023] The third weighting and delay network 260 comprises a first mixing unit 286, a second mixing unit 288 and a third mixing unit 290, each of which are coupled to the sixth input 230. The first, second and third mixing units 286, 288, 290 are respectively coupled to a first delay unit 292, a second delay unit 294, and a third delay unit 296. The first, second and third mixing units 286, 288, 290 have a respective first weight input  $w_{N,1}$ , a second weight input  $w_{N,2}$ , and a third weight input  $w_{N,3}$  for applying weight data for the first, second and third antennas 250, 252, 254 relating to the data of the  $N^{\text{th}}$  user.

[0024] The first delay units 268, 280, 292 are coupled to a first summation unit 297 in order to sum all weighted and delayed signals intended for the first antenna 250, the first summation unit 297 being coupled to the third output 238.

[0025] The second delay units 270, 282, 294 are cou-

pled to a second summation unit 298 in order to sum all weighted and delayed signals intended for the second antenna 252, the second summation unit 298 being coupled to the fourth output 240.

[0026] The third delay units 272, 284, 296 are coupled to an  $N^{\text{th}}$  summation unit 299 in order to sum all weighted and delayed signals intended for the third antenna 254, the  $N^{\text{th}}$  summation unit 299 being coupled to the fifth output 242.

[0027] The mobile terminal 108 (FIG. 3) comprises an antenna 300 coupled to an RF unit 302. The RF unit 302 carries out all the radio-frequency related tasks known in the art, for example modulation and frequency conversion. The RF unit 302 is coupled to a microprocessor 304, the microprocessor 304 being coupled to a memory 306. The functionality of the invention can be incorporated into the microprocessor 304.

[0028] Operation of the above apparatus will now be described.

[0029] The base station 102 communicates with the mobile terminal 108 over a frequency range. The frequency range is divided into a first, uplink, band of frequencies  $f_{UL}$  and a second, downlink, band of frequencies  $f_{DL}$  in accordance with an FDD scheme known in the art. Hence, the uplink band of frequencies  $f_{UL}$  are used for uplink transmissions from the mobile terminal 108 to the base station 102, whilst the downlink band of frequencies  $f_{DL}$  are used for downlink transmissions from the base station 102 to the mobile terminal 108.

[0030] A first, second, third, fourth and fifth optimisation scheme  $S_1$ ,  $S_2$ ,  $S_3$ ,  $S_4$ ,  $S_5$  is stored in a memory (not shown) in the base station 100. The first, second, third, fourth and fifth optimisation schemes  $S_1$ ,  $S_2$ ,  $S_3$ ,  $S_4$ ,  $S_5$  each have a respective first, second, third, fourth and fifth capacity values associated therewith. The first, second, third, fourth and fifth capacity values relate to the amount of capacity required by the first, second, third, fourth and fifth optimisation schemes  $S_1$ ,  $S_2$ ,  $S_3$ ,  $S_4$ ,  $S_5$  in order to transmit feedback data to the base station 102 on the uplink band of frequencies  $f_{UL}$ . The amount of capacity required by each of the first, second, third, fourth and fifth optimisation schemes  $S_1$ ,  $S_2$ ,  $S_3$ ,  $S_4$ ,  $S_5$  varies on an incremental basis, the first optimisation scheme  $S_1$  requiring the least capacity, whilst the fifth optimisation scheme  $S_5$ , requiring the most capacity on the uplink band of frequencies, i.e. capacity  $(S_1) < \text{capacity}(S_2) < \dots < \text{capacity}(S_5)$ . This is not an essential requirement.

[0031] Examples of the optimisation scheme are as follows:

[0032] The first optimisation scheme,  $S_1$ , can be delay based. In such an optimisation scheme, each of the first, second and third antennas 250, 252, 254 transmit at the same power. However, the CDMA code is provided with a time offset.

[0033] In the second optimisation scheme,  $S_2$ , of the first, second and third antennas 250, 252, 254, a single

antenna is selected which corresponds to the best total power received at the mobile terminal 108.

[0034] In the third optimisation scheme,  $S_3$ , two of the best performing antennas from the first, second and third antennas 250, 252, 254 are selected, i.e. the two antennas responsible for the best total power received at the mobile terminal 108. The phase of the two best performing antennas is adjusted to reduce the error rate on the downlink band of frequencies  $f_{DL}$ .

[0035] The fourth optimisation scheme,  $S_4$ , similar to the third optimisation scheme  $S_3$ . However, both the gain and the phase of the two best performing antennas are adjusted to reduce the error rate on the downlink band of frequencies  $f_{DL}$ .

[0036] The fifth optimisation scheme comprises adjusting the gain and phase of all the antennas in the antenna array  $m$  in order to maximise output.

[0037] Although the above optimisation schemes have been described in relation to the first, second, and third antennas 250, 252, 254, the optimisation schemes are not limited to an array of three antennas and the antenna array can comprise greater number of antennas. Similarly, more than two best performing antennas can be selected for the above described optimisation schemes. The best performing antennas can be identified by, for example, using unique training bits to identify each antenna.

[0038] An lower threshold  $T_1$  is predetermined and is an error rate which corresponds to a maximum amount of capacity available on the uplink band of frequencies  $f_{UL}$  at a given time. A upper threshold  $T_2$  is predetermined and is an error rate which corresponds to a minimum amount of capacity available on the uplink band of frequencies  $f_{UL}$  at a given time.

[0039] Referring to FIG. 4, when the base station 102 is initialised, the selection unit 222 sets a variable  $U$  to value "1" indicating that the first optimisation scheme is to be employed initially. The value of the variable  $U$  is broadcast (step 400) to the mobile terminal 108 so that the mobile terminal 108 knows which optimisation scheme to implement. If the other mobile terminals 112 intend communicating with the base station 102, they will also receive the variable  $U$ .

[0040] The uplink quality unit 220 estimates (step 402) the error rate  $p$  of communications on the uplink band of frequencies  $f_{UL}$  by means of any technique known in the art, for example bit error rate, word error rate or frame error rate methods. The error rate  $p$  is a quality measure which corresponds to the capacity available on the uplink band of frequencies  $f_{UL}$ .

[0041] The uplink quality unit 220 determines (step 404) whether the error rate  $p$  is below the threshold  $T_1$ . If the error rate  $p$  is below the threshold  $T_1$ , the uplink quality unit 220 increments (step 406) the variable  $U$  by 1, provided the value of the variable  $U$  does not exceed the maximum number of optimisation schemes, in this case, five. The base station 102 then broadcasts (step 408) the updated value of the variable  $U$  to the mobile

terminal 108, and the other mobile terminals 112.

[0042] If the error rate  $p$  is not below the threshold  $T_1$ , the uplink quality unit 220 determines (step 410) whether the error rate  $p$  is above the lower threshold  $T_2$ . If the error rate  $p$  is above the threshold  $T_2$ , the uplink quality unit 220 decrements (step 412) the value of the variable  $U$  by 1, provided it does not fall below the minimum number of optimisation schemes. The base station 108 then broadcasts (step 408) the updated value of the variable  $U$ . If the error rate  $p$  is not above the threshold  $T_2$ , the base station 108 broadcasts (step 408) the current, unaltered, value of the variable  $U$ .

[0043] The base station 102 then implements (step 414) the optimisation scheme selected in the following manner. The base station 102 awaits reception of an acknowledgement from the mobile terminal 108 that the value of the variable  $U$  has been received, if the value of the variable  $U$  has been changed. The selection unit 222 then selects one of the first, second, third, fourth or fifth optimisation scheme according to the value of the variable  $U$ . The derivation unit 224, based upon the optimisation scheme  $S_1, S_2, S_3, S_4, S_5$  selected by the selection unit 222, derives weight and delay values to be used by the first, second and  $N^{\text{th}}$  weight and delay networks 256, 258, 260. The weight and delay values derived are transferred to the first, second and  $N^{\text{th}}$  weight and delay network, 256, 258, 260 for implementation.

[0044] The uplink quality unit 220 then repeats the above procedure so that the capacity of the uplink band of frequencies  $f_{UL}$  is frequently monitored.

[0045] Referring to FIG. 5, the mobile terminal 108 receives (step 502) the value of the variable  $U$  which has been broadcast (step 508) by the base station 102. Corresponding values of variables equivalent to the variable  $U$  can also be received from the other base stations 104 in an active set.

[0046] Upon receipt of the value of the value of the variable  $U$ , the speed of the mobile terminal 102 is determined according to any technique known in the art. The mobile terminal 108 then determines (step 504) whether the mobile terminal 108 is travelling at high speed, for example 50 kph. If the mobile terminal is travelling at high speed, the mobile terminal 108 selects (step 506) the first optimisation scheme  $S_1$ , i.e. the optimisation scheme requiring the least capacity on the uplink band of frequencies  $f_{UL}$ , by setting a variable  $U_k$  to the same value as the variable  $U$ , where  $k$  identifies the mobile terminal 108.

[0047] The mobile terminal 108 then informs (step 512) the base station 102 (including the other base stations 112 in the active set) of the value of the variable  $U_k$ , if the value of the variable  $U_k$  set by the mobile terminal 108 differs from the value of the variable  $U$  received from the base station 102. The mobile terminal 108 then implements (step 516) the optimisation scheme corresponding to the value of the variable  $U$ .

[0048] Although reference is made to the value of the

variable  $U$  received from the base station 102, the actions described above apply equally in relation to the other base stations 112 in the active set.

[0049] In CDMA systems, a soft handover mode is possible. Therefore, if the mobile terminal 108 determines (step 504) that the mobile terminal 108 is not travelling at high speed, the mobile terminal 108 determines (step 508) whether the soft handover mode is enabled. If the soft handover mode is enabled, the mobile terminal 108 examines the value of the variable  $U$  and the corresponding values of the variable  $U$  received from the other base stations 112 in the active set and sets the value of the variable  $U_k$  to the lowest of the values received. The mobile terminal 108 then informs (step 512) the base station 100 (including the other base stations 112 in the active set) of the value of the variable  $U$ , if the value of the variable  $U$  set by the mobile terminal 108 differs from the value of the variable  $U$  received from the base station 102. The mobile terminal 108 then implements (step 516) the optimisation scheme corresponding to the value of the variable  $U_k$ .

[0050] If the mobile terminal 108 determines (step 508) that the soft handover mode is not enabled and if the value of the variable  $U_k$  differs from the value of the variable  $U$  received from the base station 102, the mobile terminal 108 sets (step 514) the value of the variable  $U_k$  to the value of the variable  $U$  received from the base station 102 and transmits to the base station 102 an acknowledgement. The mobile terminal 108 then implements (step 516) the optimisation scheme corresponding to the value of the variable  $U_k$ .

[0051] Once the optimisation scheme corresponding to the value of the variable  $U$  has been implemented (step 516), the mobile terminal 108 awaits receipt (step 502) of an updated value of the variable  $U$ .

[0052] In a second embodiment of the invention, a new mobile terminal 114 can enter the coverage area 106 and can need to communicate with the base station 102 (FIG. 6). Periodically, the base station 102 generates and updates (step 600) statistics relating to the average assigned bit rate on the downlink band of frequencies  $f_{DL}$  for each mobile terminal in communication with the base station 102 as a function of the bit rate (requested by the mobile terminal 108 or the network in which the system 100 operates) and the most common of the first, second, third, fourth and fifth optimisation schemes  $S_1, S_2, S_3, S_4, S_5$  assigned to mobile terminal 108 and the other mobile terminals 112 in communication with the base station 102 for a given requested bit rate.

[0053] The uplink error rate  $p$  is measured (step 602) by the uplink quality unit 220. The base station 102 then determines (step 604) whether there are any new mobile terminal 114 which need to communicate with the base station 102. If the new mobile terminal 114 needs to register, the base station 102 executes (step 700) the new user set up procedure described hereinafter. If the new mobile terminal 114 does not need to be

set up, the base station 102 executes (step 800) an adjustment procedure described below.

[0054] Referring to FIG. 7, the execution (step 700) of the new user set up procedure entails the following steps. The uplink quality unit 220 determines (step 702) whether the error rate  $p$  is greater than the upper threshold  $T_2$ . If the error rate  $p$  is not greater than the upper threshold  $T_2$ , the selection unit 222 sets (step 704) the value of the variable  $U_k$ , indicating the optimisation scheme to be employed by the new mobile terminal 114, and the assigned bit rate for the new mobile terminal 114.

[0055] In the event that the soft handover mode is enabled, the selection unit 222 assigns the value of the variable  $U_k$  corresponding to the new mobile terminal 114, to "1"; this indicates that the new mobile terminal 114 will use the first optimisation scheme  $S_1$  requiring the least capacity on the uplink band of frequencies  $f_{UL}$ . If the new mobile terminal 114 is moving at a low speed and the soft handover mode is not enabled, a bit rate on the downlink band of frequencies  $f_{DL}$  is assigned to the new mobile terminal 114 according to the statistics generated in order to ensure that the bit rate assigned to the new mobile terminal 114 is comparable with mobile terminals having similar bit rate demands and the new mobile terminal 114 is assigned the same optimisation scheme as is being used by the mobile terminal 108 and the other mobile terminals 112. If the new mobile terminal 114 is travelling at high speed and the soft handover mode is not enabled, a bit rate is assigned to the new mobile terminal 114 in the same way as for the low speed mobile terminals described above, and the variable  $U_k$  of the new mobile terminal 114 is assigned the value "1" corresponding to the first optimisation scheme  $S_1$  which requires the least capacity on the uplink band of frequencies  $f_{UL}$ .

[0056] If the value of the error rate  $p$  is greater than the upper threshold  $T_2$ , the base station 102 assigns (step 706) the value of the variable  $U_k$  corresponding to the new mobile terminal to "1", indicating that the new mobile terminal 114 will use the first optimisation scheme  $S_1$  requiring the least capacity on the uplink band of frequencies  $f_{UL}$  and a bit rate is assigned to the new mobile terminal 114 in the same way as for the low speed mobile terminals described above.

[0057] Referring to FIG. 8, the execution (step 800) of the adjustment procedure is as follows.

[0058] The base station 102 determines (step 802) whether the error rate  $p$  is less than the upper threshold  $T_1$ . If the error rate  $p$  is less than the upper threshold  $T_1$ , the base station 102 identifies (step 804) a user set. The user set comprises all mobile terminals registered with the base station 102 which are travelling at low speed, do not have soft handover mode enabled and which are requesting a higher capacity on the downlink band of frequencies  $f_{DL}$ . The base station then selects (step 806)  $M_1$  mobile terminals from the user set which are using either the first, second, third or fourth optimisation

schemes  $S_1, S_2, S_3, S_4$ . The base station 102 then assigns the next highest optimisation scheme, i.e. the optimisation scheme requiring the next highest amount of capacity on the uplink band of frequencies  $f_{UL}$ , for example the fifth optimisation scheme  $S_5$ , to the  $M_1$  selected mobile terminals.

[0059] For all of the mobile terminals registered with the base station 102, the base station 102 communicates (step 808) the changes to the values of the variable  $U_k$  of the corresponding mobile terminals. Additionally, the base station 102 adjusts the capacity of the control channels of the uplink band of frequencies  $f_{UL}$ , enables the optimisation schemes assigned to the selected mobile terminals and adjusts the data rates on the downlink band of frequencies  $f_{DL}$ .

[0060] If the error rate  $p$  is not less than the upper threshold  $T_1$ , the base station 102 determines (step 810) whether the error rate  $p$  is greater than the lower threshold  $T_2$ . If the error rate  $p$  is greater than the lower threshold  $T_2$ , the base station 102 selects (step 812)  $M_2$  mobile terminals which are employing an optimisation scheme other than the first optimisation scheme  $S_1$ . The base station 102 reduces that value of the variable  $U_k$  for the  $M_2$  mobile terminals by one so that the selected mobile terminals are assigned the next lowest optimisation scheme. This procedure occurs when there is insufficient capacity on the uplink band of frequencies  $f_{UL}$ .

[0061] For all of the mobile terminals registered with the base station 102, the base station 102 communicates (step 808) the changes to the altered values of the variable  $U_k$ . Additionally, the base station 102 adjusts the capacity of the control channels of the uplink band of frequencies  $f_{UL}$ , enables the optimisation schemes assigned to the selected mobile terminals and adjusts the data rates on the downlink band of frequencies  $f_{DL}$ . This step (step 808) is also executed in the event that the error rate  $p$  is not greater than the lower threshold  $T_2$ .

[0062] From the above described examples, it can be seen that use of capacity on the uplink band of frequencies  $f_{UL}$  can be used send feedback data to improve, and therefore increase capacity of, communications on the downlink band of frequencies  $f_{DL}$ .

[0063] Although the above examples have been described in the context of using capacity available on the uplink band of frequencies  $f_{UL}$  in order to accommodate an increase in the demand on the downlink band of frequencies  $f_{DL}$ , a converse arrangement is possible, i.e. using capacity available on the downlink band of frequencies  $f_{DL}$  in order to accommodate an increase in the demand on the uplink band of frequencies  $f_{UL}$ .

## Claims

1. A method of improving capacity in a duplexing scheme, the duplexing scheme having a first band of frequencies and a second band of frequencies, the method comprising the steps of:

determining an amount of available capacity on the first band of frequencies,

transmitting, on the first band of frequencies, a variable amount of feedback data corresponding to the amount of available capacity on the first band of frequencies, the feedback data comprising data for improving the quality of communications on the second band of frequencies, and  
optimising transmissions on the second band of frequencies using, when available, the feedback data.

2. A method as claimed in Claim 1, further comprising selecting a first optimisation scheme having an associated first quantity of feedback data after determining the amount of available capacity on the first band of frequencies, the selection of the first optimisation scheme being based upon the amount of capacity available on the first band of frequencies.
3. A method as claimed in Claim 2, wherein the first optimisation scheme is selected from a set of at least two optimisation schemes having corresponding quantities of feedback data.
4. A method as claimed in Claim 3, wherein the feedback data associated with the first optimisation scheme selected from a set of at least two optimisation schemes is the smallest quantity of feedback data of the quantities of feedback data, thereby using the smallest amount of capacity of the first band of frequencies.
5. A method as claimed in Claim 4, wherein a second optimisation scheme is selected to replace the first optimisation scheme, wherein the capacity consumed on the first band of frequencies by the first optimisation scheme is greater than the capacity consumed by the second optimisation scheme.
6. A method as claimed in Claim 5, wherein the second optimisation scheme is a single increment away from the first optimisation scheme.
7. A method as claimed in Claim 1, wherein the amount of available capacity on the first band of frequencies is estimated by monitoring error rates.
8. A method as claimed in Claim 2, further comprising providing a terminal in communication with a first fixed terminal and a second fixed terminal, the first and second fixed terminals communicating with the terminal on a given respective frequency channel in the first band of frequencies, wherein the amount of capacity available is determined for each of the given respective frequency channels and the fre-

quency channel having the least amount of available capacity is selected as the basis for selecting the first optimisation scheme.

9. A method as claimed in Claim 2, wherein the first optimisation scheme selected, is selected based upon the speed of an associated subscriber.
10. A method as claimed in Claim 1, wherein when the amount of available capacity on the first band of frequencies is insufficient to permit transmission of the feedback data, the selected first optimisation scheme does not require the transmission of any feedback data.
11. A method as claimed in any one of the preceding claims, wherein upon registration of a new subscriber, the optimisation scheme selected for the new subscriber has a comparable amount of feedback data associated therewith to existing subscribers on the cell.
12. An apparatus for improving capacity in a duplexing scheme, the duplexing scheme having a first band of frequencies and a second band of frequencies, comprising: means for determining an amount of available capacity on the first band of frequencies, means for transmitting, on the first band of frequencies, a variable amount of feedback data corresponding to the amount of available capacity on the first band of frequencies, the feedback data comprising data for improving the quality of communications on the second band of frequencies, and means for optimising transmissions on the second band of frequencies using, when available, the feedback data.
13. A method of optimising transmit diversity in an antenna array, the antenna array comprising a plurality of antennas, the method comprising:
  - selecting a predetermined number of antennas from the plurality of antennas, and
  - setting the phase of each of the predetermined number of antennas in response to feedback data received from a terminal arranged to receive transmissions from the antenna array.
14. A method as claimed in Claim 13, further comprising setting the gain of each of the predetermined number of antennas in response to feedback data received from a terminal arranged to receive transmissions from the antenna array.

## INTERNATIONAL SEARCH REPORT

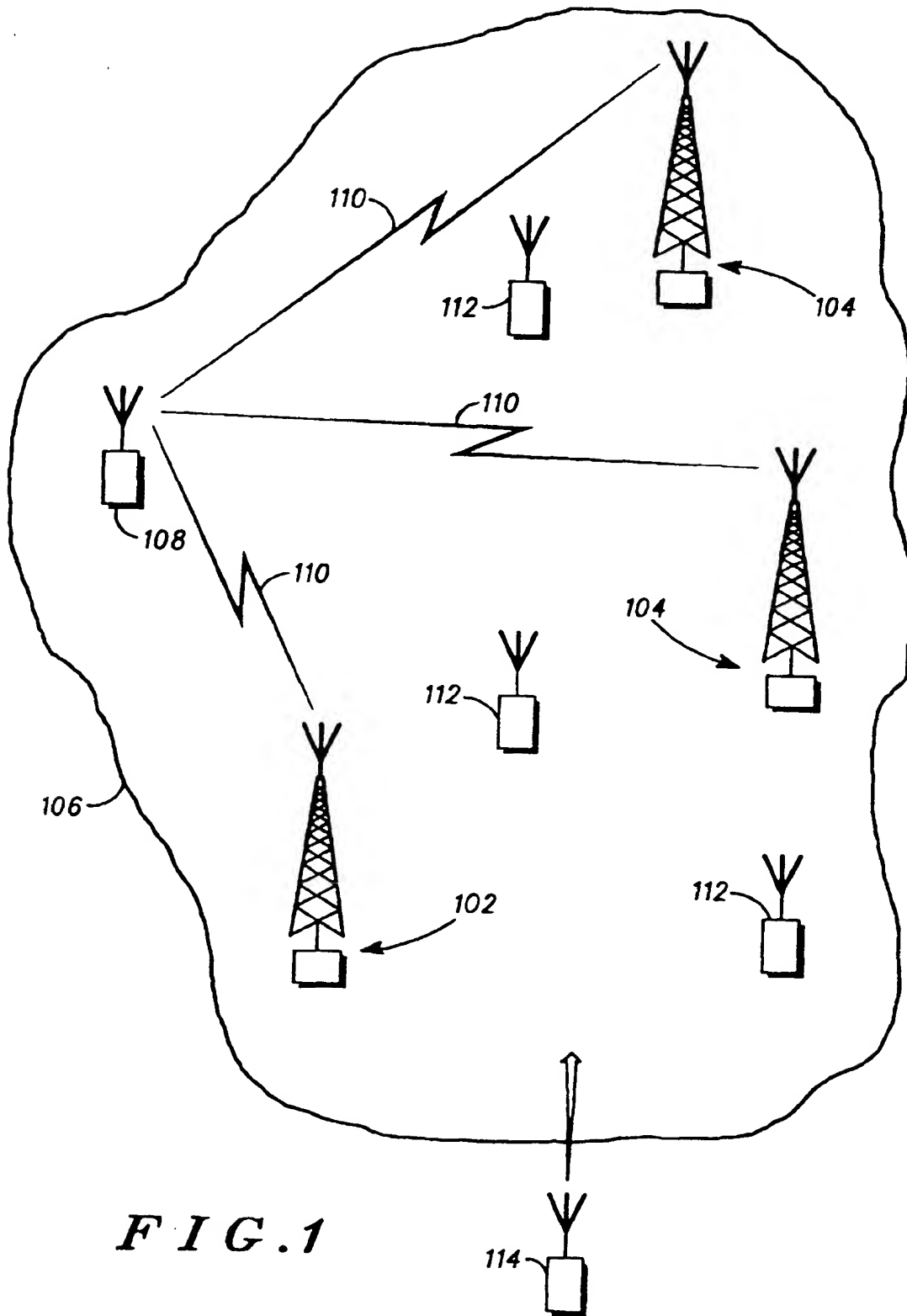
Information on patent family members

International application No.

PCT/FI 99/01037

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
EP 0806844 A1	12/11/97	EP 0206485 A,B JP 61255234 A CA 2211619 A WO 9720400 A	30/12/86 12/11/86 05/06/97 05/06/97
EP 0687076 A2	13/12/95	JP 2561031 B JP 7336129 A US 5752173 A	04/12/96 22/12/95 12/05/98
EP 0844765 A2	27/05/98	CA 2221904 A JP 10210099 A	22/05/98 07/08/98





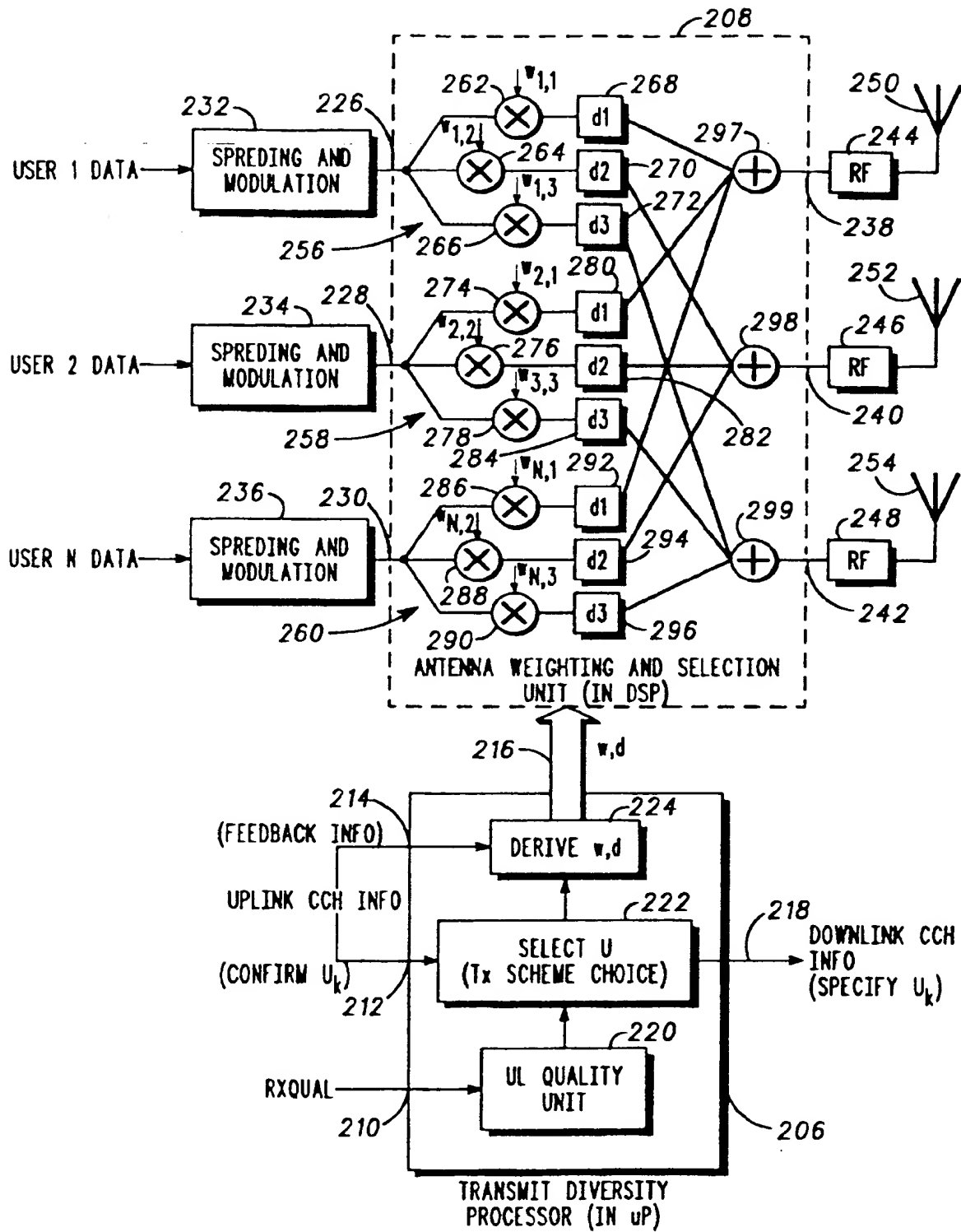


FIG. 2

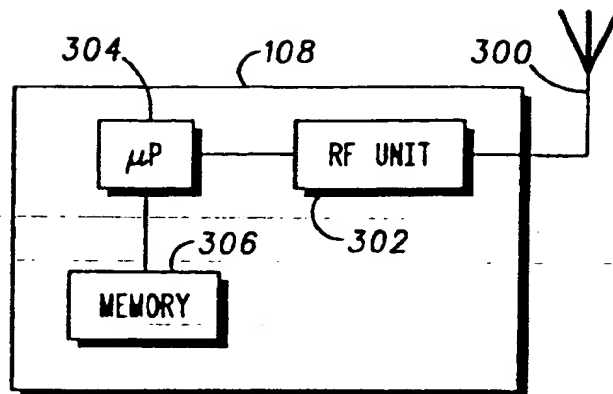


FIG. 3

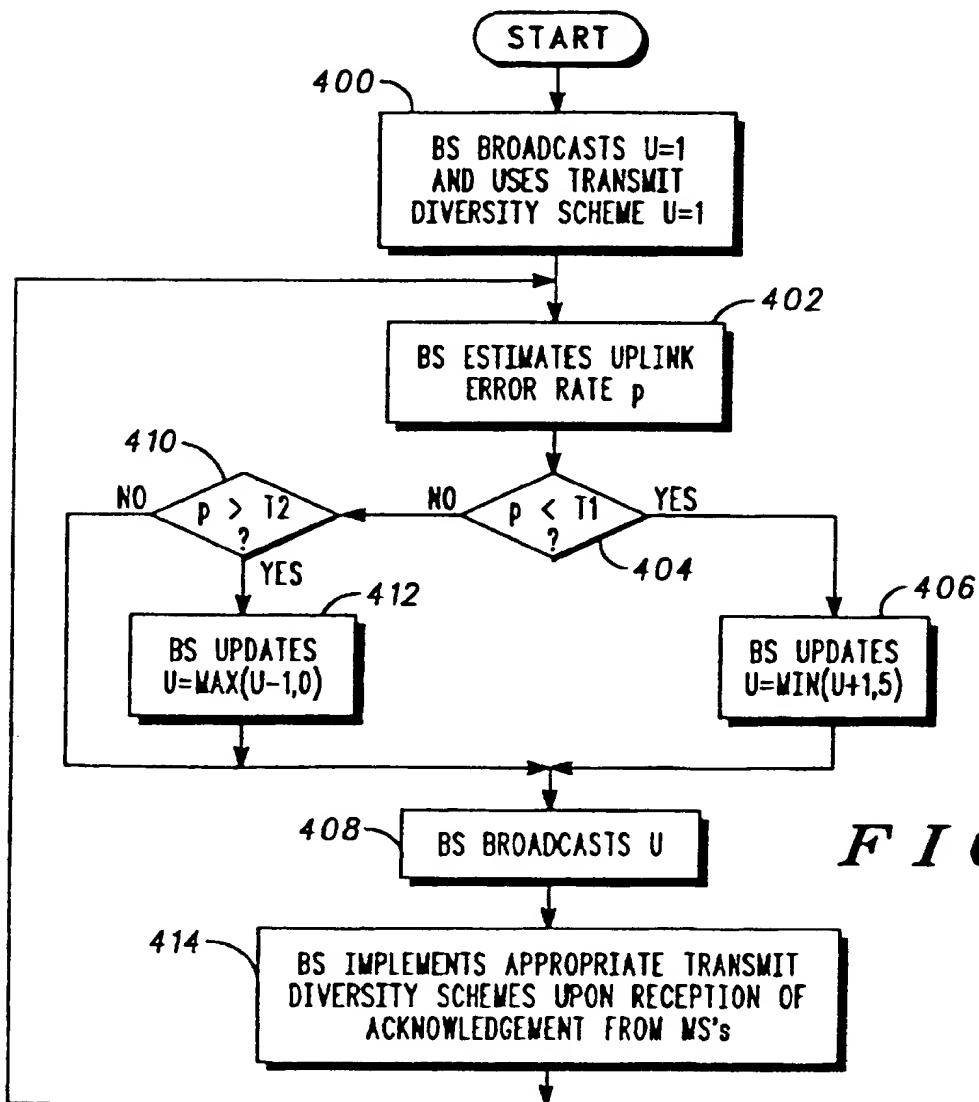


FIG. 4

FIG. 5

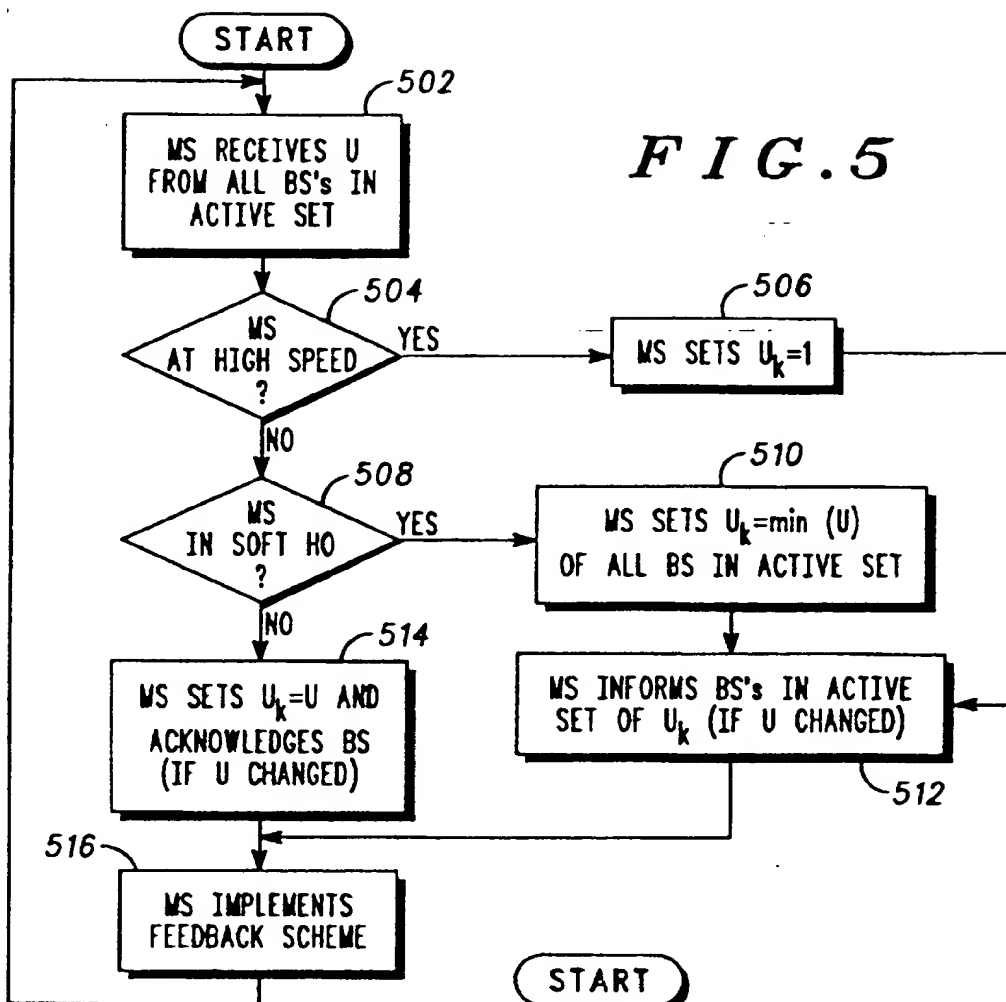
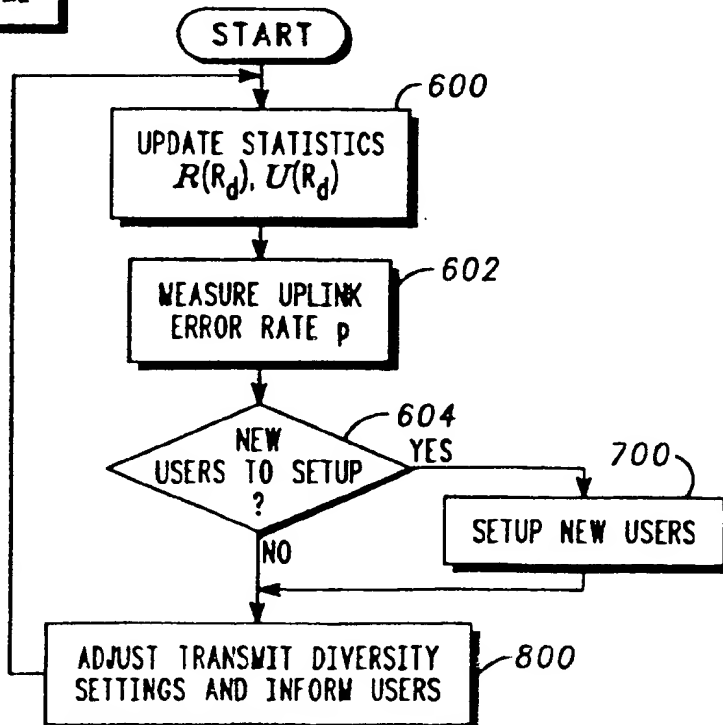


FIG. 6



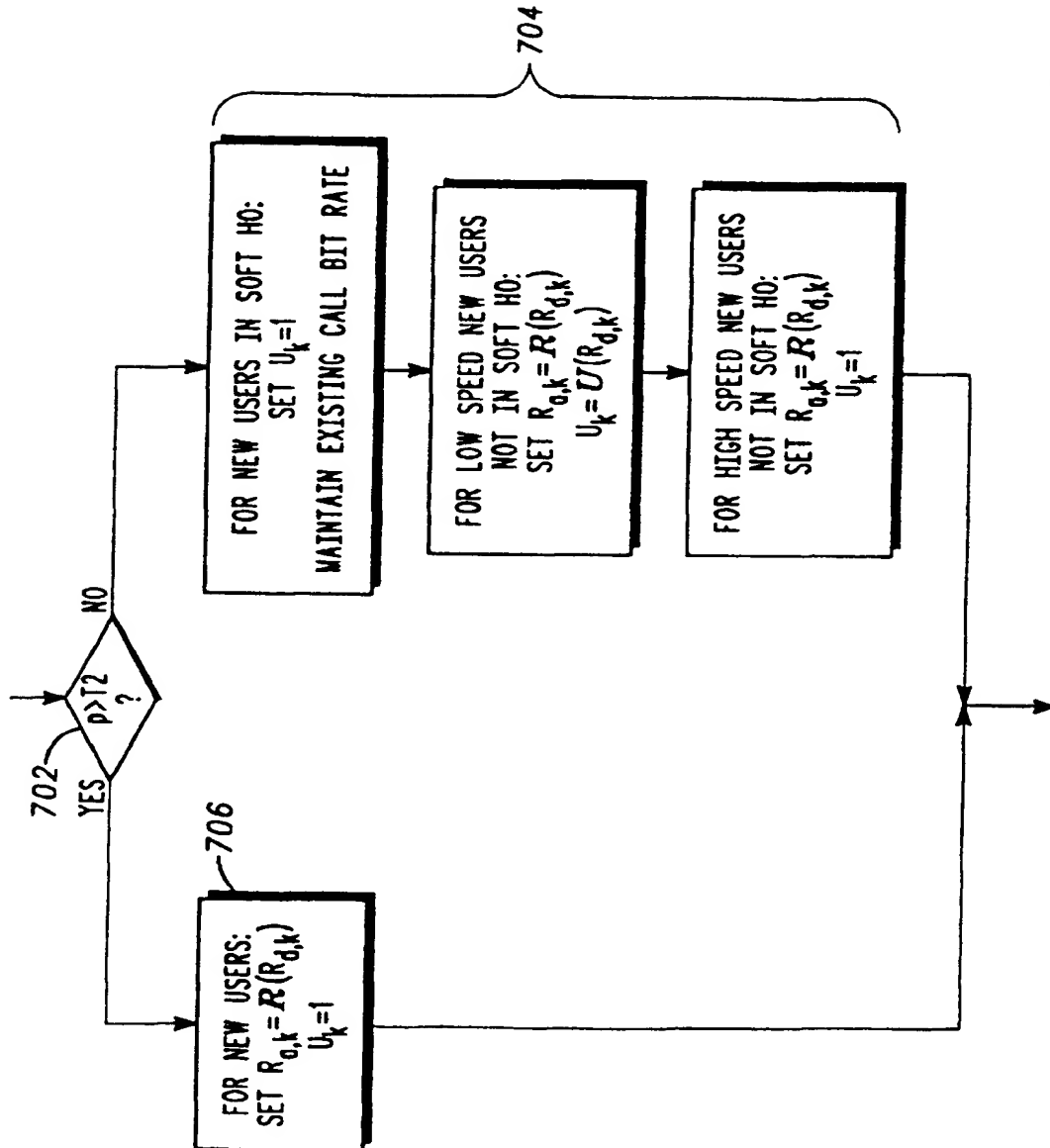
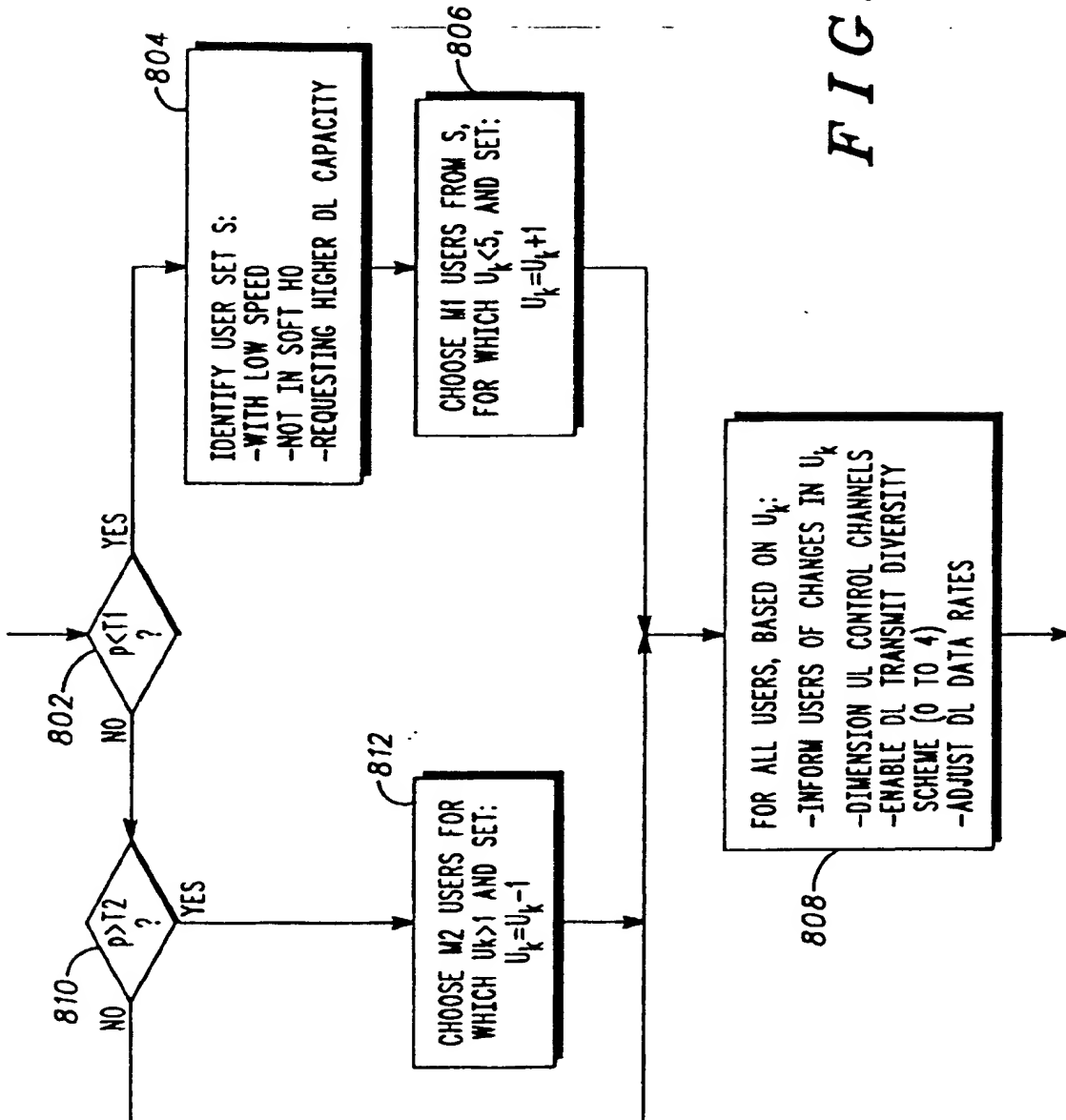


FIG. 7





European Patent  
Office

## EUROPEAN SEARCH REPORT

Application Number  
EP 98 40 1461

DOCUMENTS CONSIDERED TO BE RELEVANT			
Category	Citation of document with indication, where appropriate, of relevant passages	Relevant to claim	CLASSIFICATION OF THE APPLICATION (Int.Cl.6)
X	WO 95 07578 A (QUALCOMM INC) 16 March 1995 * abstract * * page 2, column 4-, line 4-12 * * page 3, line 13-39 * * page 8, line 29-34 * * page 12, line 31-37 * * page 14, line 3-8 * * page 14, line 36 - page 15, line 2 * * claims * ---	1,7,12	H04L1/12 H04L1/06 H04B7/08
X	US 5 471 647 A (PAULRAJ AROGYASWAMI J ET AL) 28 November 1995 * abstract * * column 1, line 48 - column 2, line 7 * * column 2, line 23-31 * * column 2, line 59 - column 3, line 6 * * column 3, line 30-34 * * column 3, line 64 - column 4, line 13 * * figures 3A,3B * * claims 1,7,12 * --- -/--	13,14	TECHNICAL FIELDS SEARCHED (Int.Cl.6) H04L H04B
The present search report has been drawn up for all claims			
Place of search THE HAGUE		Date of completion of the search 9 October 1998	Examiner Dejonghe, O
CATEGORY OF CITED DOCUMENTS X : particularly relevant if taken alone Y : particularly relevant if combined with another document of the same category A : technological background O : non-written disclosure P : intermediate document T : theory or principle underlying the invention E : earlier patent document, but published on, or after the filing date D : document cited in the application L : document cited for other reasons & : member of the same patent family, corresponding document			

EPO FORM 1500 03 82 (P44C01)



European Patent  
Office

## EUROPEAN SEARCH REPORT

Application Number  
EP 98 40 1461

DOCUMENTS CONSIDERED TO BE RELEVANT			
Category	Citation of document with indication, where appropriate, of relevant passages	Relevant to claim	CLASSIFICATION OF THE APPLICATION (Int.Cl.6)
Y	LIANG J.-W. ET AL.: "FORWARD LINK ANTENNA DIVERSITY USING FEEDBACK FOR INDOOR COMMUNICATION SYSTEMS" PROCEEDINGS OF THE INTERNATIONAL CONFERENCE ON ACOUSTICS, SPEECH, AND SIGNAL PROCESSING (ICASSP), DETROIT, MAY 9 - 12, 1995 STATISTICAL SIGNAL AND ARRAY PROCESSING, vol. 3, no. CONF. 20, 9 May 1995, pages 1753-1755, XP000533068 INSTITUTE OF ELECTRICAL AND ELECTRONICS ENGINEERS * abstract * * page 1753, left-hand column, line 24 - right-hand column, line 5 * * page 1754, left-hand column, line 21-29 * * page 1755, left-hand column, line 6-21 *	13,14	
Y	EP 0 807 989 A (MOTOROLA LTD) 19 November 1997 * abstract * * page 2, line 16-36 * * page 3, line 29-34 * * page 3, line 41-49 * * page 7, line 45-55 * * claims 1,2,15,16,30,34 *	13,14	TECHNICAL FIELDS SEARCHED (Int.Cl.6)
A	WO 98 03030 A (NOKIA MOBILE PHONES LTD ; JOKINEN HARRI (FI); HAKASTE MARKUS (FI);) 22 January 1998 * abstract * * page 4, line 4 - page 5, line 37 * * page 8, line 6-16 * * claims 1,4,6,13,16,17 *	1-7,12	
The present search report has been drawn up for all claims			
Place of search THE HAGUE		Date of completion of the search 9 October 1998	Examiner Dejonghe, O
<p>CATEGORY OF CITED DOCUMENTS</p> <p>X : particularly relevant if taken alone Y : particularly relevant if combined with another document of the same category A : technological background O : non-written disclosure F : intermediate document</p> <p>T : theory or principle underlying the invention E : earlier patent document, but published on, or after the filing date D : document cited in the application L : document cited for other reasons &amp; : member of the same patent family, corresponding document</p>			

EPO FORM 1500 (03/97) (Pub.Off.)



**ANNEX TO THE EUROPEAN SEARCH REPORT  
ON EUROPEAN PATENT APPLICATION NO.**

EP 98 40 1461

This annex lists the patent family members relating to the patent documents cited in the above-mentioned European search report.  
The members are as contained in the European Patent Office EDP file on  
The European Patent Office is in no way liable for these particulars which are merely given for the purpose of information.

09-10-1998

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
WO 9507578 A	16-03-1995	AU 679261 B	26-06-1997
		AU 7683894 A	27-03-1995
		BR 9407458 A	12-11-1996
		CA 2171008 A	16-03-1995
		CN 1130964 A	11-09-1996
		EP 0717891 A	26-06-1996
		FI 961113 A	26-04-1996
		JP 9504914 T	13-05-1997
		ZA 9406674 A	02-05-1995
US 5471647 A	28-11-1995	US 5634199 A	27-05-1997
EP 0807989 A	19-11-1997	GB 2313261 A	19-11-1997
		GB 2313237 A	19-11-1997
		AU 1782897 A	20-11-1997
		CA 2202829 A	17-11-1997
		CN 1170282 A	14-01-1998
		HU 9700908 A	28-04-1998
		JP 10117162 A	06-05-1998
WO 9803030 A	22-01-1998	FI 962834 A	13-01-1998
		AU 3445397 A	09-02-1998

EPO FORM P0458

For more details about this annex : see Official Journal of the European Patent Office, No. 12/82

**This Page is Inserted by IFW Indexing and Scanning  
Operations and is not part of the Official Record**

**BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- ☐ **BLACK BORDERS**
- ☐ **IMAGE CUT OFF AT TOP, BOTTOM OR SIDES**
- ☐ **FADED TEXT OR DRAWING**
- ☐ **BLURRED OR ILLEGIBLE TEXT OR DRAWING**
- ☐ **SKEWED/SLANTED IMAGES**
- ☐ **COLOR OR BLACK AND WHITE PHOTOGRAPHS**
- ☐ **GRAY SCALE DOCUMENTS**
- ☐ **LINES OR MARKS ON ORIGINAL DOCUMENT**
- ☐ **REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY**
- ☐ **OTHER:** \_\_\_\_\_

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.**